FAIRTREE
Values-driven Investing

ENTITIES & TRUSTS SUBSCRIPTION INFORMATION FORM

Fairtree Wild Fig Multi-Strategy USD Segregated Portfolio of the South Africa Alpha SPC

Class FF Shares
KYG827793673

(For Non-U.S. Persons)
August 2026

SUBSCRIPTION INSTRUCTIONS

This Subscription Agreement is entered into between the Subscriber and South Africa Alpha SPC (the "Fund").

Subscribing for shares of **Fairtree Wild Fig Multi-Strategy USD Segregated Portfolio** (the "**Portfolio**"), being a segregated portfolio of the Fund, may be made only by means of the completion, delivery and approval of this Agreement. This Subscription Agreement constitutes a binding, valid and enforceable agreement between the Subscriber and the Fund.

In addition to this Agreement, you hereby agree that you have received copies of, are bound by, and consent to, the terms and conditions in the following documents which govern the rules of your investment: (i) the Subscription Terms; (ii) the Private Placement Memorandum; and (iii) the Explanatory Appendix. The Subscription Agreement, the Subscription Terms, the Private Placement Memorandum and the Explanatory Appendix will hereinafter collectively be referred to as **"the Subscription Documents".**

REQUIRED DOCUMENTATION

A. Send us the documents listed below:

The documents listed below must be sent to globalclientservices@fairtree.com before 14h00 South African Time *OR* 13h00 Central European Time *OR* 13h00 UK/Channel Islands Time at least **five full business days** prior to the proposed date of subscription (month-end):

- ☐ Completed and signed Subscription information form **including** the applicable Form/s for each Director/Authorised Representative/Ultimate Beneficial Owner (Annexure B);
- ☐ Annexure A - Foreign Account Tax Compliance Act (FACTA) and Common Reporting Standard (CRS) Self Certification Form;
- ☐ Annexure B - Controlling Persons Self Certification Form and Anti-Money Laundering (AML) requirements;
- ☐ Annexure C – Anti-Money Laundering (AML) and Know Your Client(KYC) Document List.
- ☐ Annexure D – Definitions.

***While we outline the standard documentation requirements, we reserve the right to request additional information and/or supporting documents at our discretion where deemed necessary to satisfy regulatory, legal, or due diligence obligations.*
***Please note that a proof of address must reflect the residential address of the Subscriber and cannot showcase a P.O. Box address. The proof of address cannot reflect any address which is mobile in nature and hence could be misleading in terms of the Subscriber's actual address (such as mobile phone bills). The document can only be deemed valid if it is not older than 6 months.*

**If certified copies cannot be provided, originals will be requested and they must be delivered to the Administrator at the following address:

Attention: Investor Services
South Africa Alpha SPC obo Fairtree Wild Fig Multi-Strategy USD Segregated Portfolio
Apex Fund Services South Africa Limited
Apex House
1 River Park, Gloucester Road, Mowbray, Cape Town, 7700, South Africa

The Fairtree Client Services Team will confirm receipt of the subscription documents via email.

PAYMENT DETAILS

A. Payments of the subscription price which may not be less than $100,000 in order to comply with Cayman Islands regulations, must be made by wire transfer to the account below before 17h00 South African time on the closing date at which the subscription is intended to be accepted by the Fund. The reference needs to be the name of the Subscriber.

Bank	Citibank N.A. 25 Canada Square Citibank Centre London, United Kingdom ACCOUNT NUMBER: 52783878 SWIFT: CITIGB2LXXX IBAN: GB89CITI18500852783878
Beneficiary Customer	South Africa Alpha SPC - Fairtree Wild Fig Multi-Strategy USD Segregated Portfolio 103 South Church Street, George Town, Grand Cayman KY1-1002, Caymen Islands

B. Approval and acceptance of the subscription is within the absolute discretion of the Fund (on behalf of the Portfolio), which may require additional information prior to making a determination. The Administrator will notify the Subscriber of the Fund's acceptance or rejection of the subscription prior to the intended subscription date. If the subscription is rejected, the Fund may promptly refund (without interest) the Subscription price received by the Fund to the Subscriber.

C. You will receive a Contract Note from the Administrator within 2 business days following the final determination of the Net Asset Value.

Queries regarding payment may be sent to: Global.instructions@apexgroup.com (cc: ops@fairtreeinternational.com). You may also contact the Investor Services Department of Apex Fund Services South Africa Limited at the office of the Administrator.

SUBSCRIPTION INFORMATION FORM

1 SUBSCRIBER DETAILS

Kindly confirm your instruction for the type of entity by ticking the box(es) below:

☐ Listed Company ☐ Unlisted Company ☐ Trust

☐ Close Corporation ☐ Partnership

Entity/ Trust name	
Registration / Trust number	
Country of registration	
Date of incorporation	
Nature of business (where applicable)	
Jurisdiction(s)	
Registered Physical Address (where applicable)	
Postal Code	
Postal Address	**(Same as Registered Address , yes** ☐
Postal Code	

Is the organization a branch managed in a different jurisdiction from where it is headquartered? (where applicable)

Yes ☐ No ☐

If "**Yes**", please confirm the jurisdiction that the branch is physically located in

Person(s) acting on behalf of the entity/trust (if applicable):

	Primary Contact	**Secondary Contact** (where applicable)
Title		
Surname		
First Name(s)		
Date of Birth		
Nationality		
ID Number or Passport Number		
Designation of Authorised Representative		

Contact Details	**Primary Contact**	**Secondary Contact** (where applicable)
Telephone Work		
Fax		
Mobile Phone		
Email Address		

We will send all communications to you via email. If you prefer post or do not have an email address, then please tick this box ☐

I wish to receive monthly fund fact sheet reporting on my investment via the email address listed above ☑

Click here to access our Privacy Policy

2 SOURCE OF FUNDS

In order to comply with current legislation, we are required to ascertain how you acquired the funds to make this investment. Please complete this section by giving as much detail as possible in order to satisfy our compliance requirements. Generic responses such as "personal wealth" or "accumulated savings" will not be accepted[1].

Kindly confirm the source of funds by ticking the box(es) below and providing the required detail:

☐ **Capital / Savings** ☐ **Company profit** ☐ **Investment income**	
If capital / savings / company profits, please give full details of how the amount was acquired, including dates, periods of time, and details of the business trading activity(ies) that generated the funds saved. If investment income, please give details on the nature of the investments (e.g. how the investment income was generated, how long the investments were held for)	
☐ **Grant** ☐ **Donation**	
Name of donor / benefactor	
Relationship to donor / benefactor	
Source of wealth of gifted funds	
☐ **Sale of property** ☐ **Sale of business**	
Name of business	
Business trading activities	
Address of asset sold	
Total sale price	
Date of sale	
☐ **Loans**	
Source of the loan received	
Purpose of the loan	

1 Documentation may be requested if the Administrator cannot verify the source of funds/wealth online.

Loan amount	
Date loan received	
☐ **Other**	
Please provide details (e.g. nature of income/wealth acquired, source of wealth/funds, amount received, date received)	

What is the expected level of activity per annum in the fund?	

Wiring Institution:

Please identify the bank or financial institution (the "Wiring Institution") from which any funds which will be remitted to the Fund.

Name of Bank	
Address of Bank	
Bank Contact: name	
Bank Contact: telephone number	
Bank Contact: email address	

Is the Wiring Institution located in a jurisdiction acceptable to the FATF and the OECD and appropriately regulated in that jurisdiction? Approved jurisdictions include Member States of the European Union (EU), Australia, Canada, Cayman Islands, the Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, New Zealand, Norway, Singapore, South Africa, Switzerland, Turkey, United Kingdom and the United States.

☐ **Yes** ■ **No**

If **yes**, is the Subscriber a customer of the Wiring Institution?

☐ **Yes** ☐ **No**

If you have answered "**No**" to either of the questions above, or it is anticipated that non-cash assets will be transferred into the structure at any time we will require the following: -

- Supporting evidence for the detailed source of funds explanation given above.
- A written reference from a professional adviser who can confirm a close professional relationship with the Customer of at least five years and in particular can confirm source of funds.

3 SUBSCRIBER BANK ACCOUNT DETAILS

Please identify the Wiring Institution from which the Subscriber's funds will be wired. The bank account specified below must be in the name of the Subscriber.

Note that any amounts paid to the Subscriber will be paid to the same account from which its subscription funds were originally remitted, unless the Fund on behalf of the Portfolio agrees otherwise.

Remitting Bank or Financial Institution:

Name of Account Holder	
Name of Bank	
Branch Name	
Branch Code	
Account Number	
Account Type	
ABA / CHIPS Number/SWIFT	
SWIFT Address	
Name (*Subscriber Name***)**	
Signature of Authorised Signatory(ies)	
Signature of Authorised Signatory(ies)	

4 INVESTMENT DETAILS

Subscription Amount (USD)	
Trade Date	

5 INDEPENDENT FINANCIAL ADVISOR

This section must only be completed in circumstances where you have appointed an IFA and you have agreed to compensate your IFA for financial advice or intermediary services provided to you by your IFA in relation to this subscription. For this section to be valid and enforceable, your IFA must sign at the designated signature section below.

By completing this section, you hereby authorise the Fund to pay an ongoing quarterly advice fee ("**the Ongoing IFA Fee**") on your behalf to your IFA, subject to the terms and conditions of the Subscription Documents. The Ongoing IFA Fee will be calculated as a percentage (the "**IFA Fee Rate**") of the value of your investment in the Portfolio. The IFA Fee Rate will be the rate as selected below. The Ongoing IFA Fee will be paid to your IFA on a quarterly basis, in arrears.

You hereby consent that the Ongoing IFA Fee may be deducted from your investment in the Portfolio, subject to the provisions of the Subscription Documents.

The Administrator will, on behalf of the Fund, make payment of the Ongoing IFA Fee to the Portfolio's discretionary investment manager, being Fairtree Capital International IC Limited, who will, in turn, make payment of the Ongoing IFA Fee to your IFA. You hereby consent to the aforesaid payment arrangement and accept all risk in relation thereto.

It is important to note that no payments will be made to your IFA where your IFA has not entered into an IFA onboarding agreement with Fairtree Capital International IC Limited. A copy of the IFA onboarding agreement may be requested from the Administrator or Fairtree Capital International IC Limited. Furthermore, IFAs will be subject to standard due diligence procedures conducted by Fairtree Capital International IC Ltd.

You may instruct the Administrator or Fairtree Capital International IC Limited to cease payments to your IFA at any time, provided that termination of payments to your IFA will only be effective from the date on which Fairtree Capital International IC Limited acknowledges your request in writing and confirms implementation thereof.

Please select the IFA Fee Rate (per annum) to be used to determine the Ongoing IFA Fee to be paid to your IFA (if no selection is made, the IFA Fee Rate will be zero):

I am a new Advisor ☐ (**New Advisors need to complete the required onboarding and AML process)**

Ongoing IFA Fee	☐ 0.25 %	☐ 0.5%	☐ 0.75%

Ongoing advice Fees are calculated per annum based on the net asset value of the Portfolio. Subscribers who choose different ongoing advisor fees will be issued shares in different series. The Investment Manager, as agent on behalf of the Fund, will remit these fees to the Financial Advisor in accordance with the relevant IFA onboarding agreement.

If the above fee(s) is not completed it will default to zero.

Independent Financial Advisor's Details

Name and Surname		
Name of Registered Financial Services Provider (FSP)		
Regulator License Number		
Email		
Contact Number		
Address		
		Code

Independent Financial Advisor Declaration

I, the Subscriber's appointed Independent Financial Advisor for this subscription, declares that:

- I understand that prior to receiving any payments as contemplated hereinabove, I will be required to comply with any and all KYC and AML requirements imposed on me or the FSP that I am a representative of, by the Fund, the Administrator and/or the Investment Manager.
- I am licensed to render investment advisory and/or intermediary services in respect of this product.
- I made the disclosures required in terms of the South African Financial Advisory and Intermediary Services Act, No. 37 of 2002 ("FAIS") or other applicable financial services law and subordinated legislation thereto, to the Subscriber.
- I have read this Subscription Agreement and the Subscription Documents and have explained them to the Subscriber. To the extent they apply to me, I agree to be bound by the contents thereof.
- I have explained all fees that relate to this investment to the Subscriber and understand and accept that the Subscriber may withdraw his/her/their authority for payment of fees to me at any time, in writing.

Signature of Independent Financial Advisor					
Name		**Signature**		**Date**	

6 SUBSCRIBER DECLARATION AND CONSENT

By signing this Subscription Information Form, the Subscriber, or where applicable, the Subscriber's authorized signatory:

6.1 warrants that all statements made and details supplied by or on behalf of the Subscriber in this Subscription Information Form are true and correct and the Subscriber accepts the terms and conditions set out in the Subscription Information Form and the Subscription Documents;

6.2 confirms that it has read, understood and agree to the terms and conditions of the Subscription Documents, and acknowledges that these may be amended and/or supplemented from time to time by the Fund, and that the Subscriber will be bound by any amended and/or supplemented version of the Subscription Documents;

6.3 acknowledges that it has been given the opportunity to ask questions, and received answers from, the Fund, the Administrator and/or the Investment Manager, or one of their affiliates, concerning the terms and conditions of the subscription and other matters pertaining to an investment in the Fund;

6.4 acknowledges that it has been given the opportunity to obtain any additional information which the Fund can acquire without unreasonable effort or expense and that is reasonably necessary to evaluate the merits and risks of an investment in the Fund;

6.5 undertakes to advise the Administrator promptly of any change to its tax residency within 30 days of such change occurring;

6.6 consents to the Administrator and/or the Fund sharing the Subscriber's information with the relevant tax information authorities where legally obliged to;

6.7 consents to Fairtree Capital International IC Limited, Fairtree Asset Management (Pty) Ltd, the Administrator, Apex Fund Services South Africa Limited or any of their affiliates processing my personal data, in accordance with applicable data-protection laws;

6.8 confirms that it is aware of, and consent to, all fees and costs relating to this investment (including the cost of buying/selling shares and the Investment Manager's fees, which include a monthly management fee and quarterly/annual performance fees);

6.9 hereby waives any claims against the Fund, the Portfolio, the Administrator and the Investment Manager and any of their affiliates, employees, officers and agents for liability, loss, damage or expense in connection with taxes, penalties or interest levied on the Subscriber (it being recorded that any such person may accept the benefit of this waiver at any time in any manner); and

6.10 confirms that it has sufficient knowledge to recognize and understand the risks pertaining to an investment in the Portfolio, due to personal education and professional experience or as a result of comparable experience in the financial sector.

7 EEA AND EU SUBSCRIBERS

7.1 This section applies only to Subscribers who are resident or domiciled in, or whose registered office is located in, a Member State of the European Economic Area or the European Union.

7.2 If the main residence, domicile or registered office of the Subscriber if the Subscriber is a nominee or custodian or is otherwise acting for or on behalf of another person or entity, the main residence, domicile or registered office of that other person or entity; or, if the person making the decision to subscribe is not the Subscriber, the main residence, domicile or registered office of the person that makes the

decision to subscribe) is in a country or jurisdiction within the European Economic Area or the European Union, **please check the boxes below to confirm that the Subscriber and/or such other person:**

☐ Initiated the discussions, correspondence or other communications with the Fund and/or the Investment Manager, or any of their affiliates, which resulted in it making a decision to subscribe to the Shares and that, at no time, did the Fund and/or the Investment Manager, or any of their affiliates, solicit, recommend, advise or request that it subscribe to the Shares, other than by providing such information in respect of the Fund as the Subscriber (or the person making the decision to subscribe) may have requested from time to time; and

☐ Understands that it may not have received, and may not in the future receive, the same information in respect of the Fund or the Investment Manager that the Investment Manager would have been required to provide to it or otherwise make public had it marketed the Fund to it in accordance with the requirements of Directive 2011/61/EU on Alternative Investment Fund Managers.

1st Signatory					
Name		**Signature** (duly authorised representative)		**Date**	
2nd Signatory					
Name		**Signature** (duly authorised representative)		**Date**	

ANNEXURE A

FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARD ("CRS") SELF-CERTIFICATION FORM

1. INVESTOR'S FATCA CLASSIFICATION

1.1 The Investor is a Financial Institution, in terms of FATCA

☐ Yes ☐ No

*If "**Yes**", please complete points 1.2 to 1.3 below as applicable*

1.2 Please provide the Investor's Global Intermediary Identification Number (GIIN)_____

1.3 If the Investor is unable to provide a GIIN, please indicate the Investor's FATCA status by selecting one of the three options below

Option 1		
The Investor is a Non-Reporting Financial Institution	☐ Yes	☐ No
*If "**Yes**", please specify which Non-Reporting Financial Institution category the Investor falls under*		
Option 2		
The Investor is a Certified Deemed Compliant Foreign Financial Institution or Exempt Beneficial Owner	☐ Yes	☐ No
*If "**Yes**", please specify which category of Certified Deemed Compliant Financial Institution or Exempt Beneficial Owner the Investor falls under*		
Option 3		
The Investor is a Non-Participating Financial Institution	☐ Yes	☐ No

If the Investor is not a Financial Institution in terms of point 1.1 above, then the Investor **must** select one of the classifications in points 1.4 and 1.5. Should the Investor fail to select either of the classifications set out in 1.4 or 1.5 below, we will assume that the Investor is a Non-Participating Financial Institution.

1.4 The Investor is an Active Non-Financial Foreign Entity ("NFFE"):

☐ Yes ☐ No

*If "**Yes**", please specify which category of Active NFFE the Investor falls under.*

_____

1.5 The Investor is a Passive NFFE:

☐ Yes ☐ No

*If "**Yes**", Section 2 of Annexure B must be completed for every Controlling Person of the Investor.*

2. INVESTOR'S CRS CLASSIFICATION

2.1 Please provide the details of the tax registration information pertaining to the entity.

TIN	Country of issue	Is the entity considered to be tax resident of this country?	
1.		☐ Yes	☐ No
2.		☐ Yes	☐ No

If the Investor is unable to provide a TIN, please state the reason.

If the Investor has no residence for tax purposes (e.g. because of fiscal transparency) please provide the address of the Investor's principal office below (this will serve as a proxy for the Investor's country of tax residence).

Unit number		**Complex name**	
Street number		**Street/Farm**	
Suburb			
City/Town		**Postal code**	
Country			

2.2 If the Investor certified in point 2.1 above that the Investor is a foreign tax resident or has a foreign principal office address, please complete the information requested below.

The Investor is:

2.2.1	a corporation which regularly trades its stock on one or more established securities market(s) or is a corporation which is a related entity of such a corporation *If "**Yes**", please provide the name(s) of the established securities market(s) on which the corporation or its related entity regularly trades:*	☐ Yes	☐ No
2.2.2	a Governmental Entity	☐ Yes	☐ No
2.2.3	an International Organisation	☐ Yes	☐ No
2.2.4	a Central Bank	☐ Yes	☐ No
2.2.5	an Active NFE that is not described in (a) to (d) above	☐ Yes	☐ No
2.2.6	a Financial Institution, in terms of the CRS If "Yes", please provide the Investor's GIIN obtained for FATCA purposes, if held	☐ Yes	☐ No
2.2.7	If "Yes" to point 3.2.6, please also advise whether the Investor is an Investment Entity, located in a Non-Participating Jurisdiction and managed by another Financial Institution	☐ Yes	☐ No
2.2.8	a Passive NFE *note: a Passive NFE also includes an Investment Entity described in 2.2.7*	☐ Yes	☐ No

*If "**Yes**" to point 2.2.6 or 2.2.7, Section 3 of Annexure B must be completed for every Controlling Person of the Investor.*

3. DECLARATION AND CONSENT

Name of Investor	

By signing below, I/we, (or for and on behalf of the Investor), hereby:

3.1 confirm that I/we have read and understood the contents of this form, including the self-certifications set out herein;
3.2 warrant that all the information provided by me/us is true and correct in all material aspects, to the best of my/our knowledge and belief;
3.3 confirm that should any of the information contained herein cease to be correct, I/we will notify you of the change immediately;
3.4 understand that we may be legally obliged to disclose the information contained in this form to the relevant tax authority, and do not object to this disclosure.

1st Signatory					
Name		**Signature** (duly authorised representative)		**Date**	
2nd Signatory					
Name		**Signature** (duly authorised representative)		**Date**	

ANNEXURE B

ADDITIONAL INFORMATION REQUIRED IN TERMS OF AML AND CONTROLLING PERSONS SELF CERTFICATION

Notes

1. Each person acting on behalf of the Investor, and the persons mentioned in Annexure A, will need to complete Annexure B.
2. Where the investor has certified in Annexure A that the Investor is a Passive NFFE, or a Passive NFE (which includes an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution), all the details below must be completed for every Controlling Person of the Investor.
3. The Investor can be either a Passive NFFE or a Passive NFE, or both.
4. A Controlling Person, for the purpose of completing this Annexure B, is each person or entity in respect of whom/which we must obtain information in terms of our AML obligations, when identifying the Investor as required by our AML obligations.
5. In determining the Controlling Person of the Investor, we may rely on the FICA information obtained from the Investor.
6. Copies of this annexure can be made as required and must accompany the fully completed application form.
7. The information required is mandatory to meet legislative requirements and therefore, the application will not be processed without receiving the additional information for each applicable party.

Please indicate whether the Investor is:

Legal name of the relevant entity of which the Individual is a Controlling Person:

A Passive NFFE (as defined in terms of FATCA)	☐ Yes	☐ No
A Passive NFE (as defined in terms of the CRS)	☐ Yes	☐ No

Please complete:

- Section 1: details of Controlling Person;
- Section 2 if you have indicated that the Investor is a Passive NFFE; and/or
- Section 3 if you have indicated that the Investor is a Passive NFE.

Please indicate on whose behalf this Annexure B is being completed for:

☐ Trust; and/or	☐ Founder/Donor; and/or	☐ Trustee; and/or
☐ Beneficiary; and/or	☐ Authorised person; and/or	☐ Company; and/or
☐ Director; and/or	☐ Shareholder	☐ Settlor/Protector; and/or
☐ Other, please specify		

1. DETAILS OF CONTROLLING PERSON

☐ Individual ☐ Company ☐ Close corporation ☐ Split ☐ Other, please specify

1.1. ENTITY / TRUST

Full registered name / Trust name	
Trading name (where applicable)	
Registration / Trust Number	

Date of incorporation/ registration	
Country of incorporation/ registration	
Nature of business (where applicable)	
Industry of business (where applicable)	
Jurisdiction (where applicable)	
Tax identification number	
Country of issue	
Type of interest and percentage of control	

1.2. INDIVIDUAL

Full name			
Previous name or aliases			
Capacity in which acting on behalf of the Investor			
Date of birth			
Identity / Trust Number			
Passport Number		**Expiry Date**	
Country of issue			
Country of citizenship			
Country of residence			
Country of nationality			
Jurisdiction			
Tax identification number			
Country of issue			
Type of control			
In which country do you carry out your professional activities?			
Public positions held Government/Community			

PEP DECLARATION (REFER TO DEFINITION ON ANNEXURE D)

I am not a politically exposed person (pep) ☐ I am a politically exposed person (PEP) ☐

1st Signatory					
Name		**Signature** (duly authorised representative)		**Date**	
2nd Signatory					
Name		**Signature** (duly authorised representative)		**Date**	

ANNEXURE C

ANTI-MONEY LAUNDERING (AML) AND KNOW YOUR CLIENT(KYC) DOCUMENT LIST.

While we outline the standard documentation requirements, we reserve the right to request additional information and/or supporting documents at our discretion where deemed necessary to satisfy regulatory, legal, or due diligence obligations.

1. NON- REGULATED COMPANY

- A legible certified copy of the Certificate of Incorporation and any Change of Name Certificate, if applicable.
- A legible certified copy of a recent (i.e. less than 6 months) Certificate of Incumbency/Commercial License/Relevant Authority's extract/Certificate of Good Standing etc., confirming the company is appropriately incorporated and authorised to operate from the jurisdiction of incorporation.
- A legible certified copy of the Memorandum of Association.
- A legible certified copy of the Articles of Incorporation and/or By-Laws, or any constitutional document relevant to the entity type (e.g. Limited Liability Company Operating Agreement).
- A legible certified copy of the Registers of Directors and Officers (dated within the last 6 months).
- A legible certified copy of the Register of Owners/Members (dated within the last 6 months).
- A legible certified copy of the Power of Attorney or its equivalent (if applicable).
- A legible certified copy of the authorized signatory list, with specimen signatures on company letterhead; clearly stating the signatory power and designation of the signing party.
- Organizational structure chart, as applicable. Must include subsidiaries, signed and dated by a Director, Company Secretary, or registered agent, clearly stating ownership percentages and jurisdiction at each level.
- Wiring Instructions, as applicable. If not included in the transaction application, a signed copy is required.
- For all owners/shareholders/members with ownership of 10% or more, collect relevant information by entity type.
- For at least 2 directors, or 1 director and 1 signatory, proof of ID and proof of address (or relevant documentation for corporate directors).
- FATCA/ CRS form to be completed.
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).

2. TRUST

- Trust Declaration Letter confirming details of Settlors, Protectors & Beneficiaries.
- Certified copy of the Trust Deed or extract showing key trust details and signed by the trustee.
- Certified copy of Deeds of Appointment and Retirement (if applicable).
- Certified copy of Deeds of Addition or Removal of Beneficiaries (if applicable).
- Authorized Signatory List with specimen signatures.
- Wiring Instructions (signed copy required if not in application).
- Collect trustee information by entity type.
- Collect settlor, beneficiary, and protector information by entity type.
- FATCA/ CRS form to be completed.
- Fully completed Source of Funds & Source of Wealth Declaration Form (for the Settlor).

3. LISTED ENTITY

- Authorized Signatory List with specimen signature on company letterhead - clearly stating the signatory power and designation of the signing party.
- Proof of stock exchange listing, to be downloaded from the relevant stock exchange online register. (Certified copy to be provided if not available for Apex to access online.)
- Wiring instructions, as applicable, if the wiring instructions are not noted in the transactions application a signed copy will be required.
- FATCA/ CRS form to be completed.
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).
- Provide Names, Nationalities and Dates of Birth for any natural person UBO with a direct or indirect shareholding of 10% or more of the entity.

4. PARTNERSHIP

- Partnership Agreement (all documents must be dated).
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).
- Certified copy of Identification documents for all Partners holding 10%+ or exercising control.
- Certified copy of Identification documents for General Partner (if applicable).
- Authorized Signatory List with specimen signatures.
- Wiring Instructions (signed copy required if not in application).
- FATCA/ CRS form to be completed.

5. REGULATED FINANCIAL INSTITUTION

- Proof of regulation from the relevant regulator. (Certified copy if not available for Apex to obtain from the relevant regulator's website.)
- Extract from the commercial register. (Certified copy if not available for Apex to obtain through the website of the relevant business registry/regulator's website)
- Copy of the Register of directors. (Certified copy if not available for Apex to obtain through the relevant business registry/regulator's website)
- Signed AML Reliance Letter on company letterhead addressed to Apex or client/fund.
- FATCA/ CRS form to be completed
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).
- Declaration of any natural person UBO holding 10% or more of the account investing into the fund, or a confirmation of senior managing official (SMO) who exercises ultimate control over the legal entity where no such natural person UBO exists.
- Certified copy of the Authorised signatory list with specimen signatures.

6. FUND (NON-REGULATED)

- Certified copy of Memorandum and Articles of Association.
- Certified copy of Certificate of Incorporation and name changes (if applicable).
- Prospectus/Offering Memorandum.
- Certified copy of register of directors.
- ID and proof of address for directors/signatories.
- Certified authorized signatory list.
- Wiring Instructions (signed copy if required).
- Certified register of shareholders.
- Shareholder information (10%+ ownership).
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).
- FATCA/ CRS form to be completed.

7. FUND (REGULATED)

- Proof of regulation of the Fund and/or Administrator.
- Certificate of incorporation and name changes.
- Certified Prospectus.
- Certified register of directors.
- Certified authorized signatory list.
- Wiring Instructions (signed copy if required).
- FATCA/ CRS form to be completed.
- Signed AML Assurance Letter.
- UBO details (10%+ ownership).
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).

8. PENSION PLAN

- Certified extract from Commercial Register / Proof of Registration.
- Certified Pension Scheme Rules/Articles/Deed.
- Latest audited financial report (if available).
- Document confirming Principal Employer/Trustee/Protector.
- Certified register of Directors/Controllers/Trustees.
- ID and proof of address for controllers/trustees.
- Proof of regulation (if applicable).
- Certified authorized signatory list.
- Fully completed Apex Entity Investor Due Diligence Questionnaire (DDQ).
- FATCA/CRS form.
- Power of Attorney Confirmation (if applicable).

ANNEXURE D

DEFINITIONS

1. **Administrator**: the administrator of the Portfolio appointed by the Fund, being Apex Fund Services South Africa Limited.
2. **Benefit Plan Investor**: is (i) any plan subject to Title I of Employee Retirement Income Security Act of 1974 ("ERISA") (e.g., U.S. corporate plans), (ii) any plan subject to Section 4975 of the Code (e.g., IRAs) and (iii) any passive investment fund whose underlying assets include "plan assets" (generally because plans (described in (i) or (ii)) own 25% or more of a class of the investment fund's equity interests).
3. **Close Associate**: With respect to a Senior Foreign Political Figure, a person who is widely and publicly known internationally to maintain an unusually close relationship with the Senior Foreign Political Figure and includes a person who is in a position to conduct substantial US and international financial transactions on behalf of the Senior Foreign Political Figure.
4. **Designated Body**: A fully regulated financial intermediary based in an FATF Country.
5. **FATF**: The Financial Action Task Force on Money Laundering.
6. **FATF Country**: A country that is a member of FATF. For a current list of FATF members see http://www.fatf-gafi.org.
7. **Foreign Bank**: An organization that (i) is organized under the laws of a country outside the U.S.; (ii) engages in the business of banking; (iii) is recognized as a bank by the bank supervisory or monetary authority of the country of its organization or principal banking operations; (iv) receives deposits to a substantial extent in the regular course of its business; and (v) has the power to accept demand deposits, but does not include the U.S. branches or agencies of a foreign bank.
8. **Foreign Shell Bank**: A Foreign Bank without a Physical Presence in any country, but does not include a Regulated Affiliate.
9. **Government Entity**: Any government or any state, department or other political subdivision thereof, or any governmental body, agency, authority or instrumentality in any jurisdiction exercising executive, legislative, regulatory or administrative functions of or pertaining to government.
10. **Immediate Family**: With respect to a Senior Foreign Political Figure, typically includes the political figure's parents, siblings, spouse, children and in-laws.
11. **Investment Manager**: The investment manager appointed by the Fund to manage the Portfolio, being Fairtree Capital International IC Limited.
12. **Non-Cooperative Jurisdiction**: Any foreign country or territory that has been designated as non-cooperative with international anti-money laundering principles or procedures by an intergovernmental group or organization, such as FATF, of which the U.S. is a member and with which designation the U.S. representative to the group or organization continues to concur. See http://www.fatf-gafi.org for FATF's list of non-cooperative countries and territories.

13. **Politically Exposed Person**: A PEP is a person or direct family member (spouse or equivalent, children and their partners, parents) which is (or has ceased to be for less than one year) a (i) head of states, head of governments, minister, deputy minister, state secretary; (ii) parliamentarian; (iii) member of supreme courts, constitutional courts or other high jurisdiction which decisions may not be appealed except in exceptional circumstances; (iv) ambassador, "chargé d'affaires", superior official of army forces; (v) member of administration, direction or supervision of public authorities; (vi) individual responsible of political parties; (vii) OR, any person known to be closely associated to one such person (i.e., person being the beneficial owner of a corporate structure together with a PEP or of a corporate structure set up for the benefit of a PEP, or having a close business relationship with a PEP).
14. **Physical Presence**: A place of business that is maintained by a Foreign Bank and is located at a fixed address, other than solely a post office box or an electronic address, in a country in which the Foreign Bank is authorized to conduct banking activities, at which location the Foreign Bank: (a) employs one or more individuals on a full-time basis; (b) maintains operating records related to its banking activities; and (c) is subject to inspection by the banking authority that licensed the Foreign Bank to conduct banking activities.
15. **Publicly Traded Company**: An entity whose securities are listed on a recognized securities exchange or quoted on an automated quotation system in the U.S. or country other than a Non-Cooperative Jurisdiction or a wholly-owned subsidiary of such an entity.
16. **Qualified Plan**: A tax qualified pension or retirement plan in which at least 100 employees participate that is maintained by an employer that is organized in the U.S. or is a U.S. Government Entity.
17. **Regulated Affiliate**: A Foreign Shell Bank that: (a) is an affiliate of a depository institution, credit union, or Foreign Bank that maintains a Physical Presence in the U.S. or a foreign country, as applicable; and (b) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union, or Foreign Bank.
18. **Related Person**: With respect to any entity, any interest holder, director, senior officer, trustee, beneficiary or grantor of such entity; provided that in the case of an entity that is a Publicly Traded Company or a Qualified Plan, the term "Related Person" shall exclude any interest holder holding less than 5% of any class of securities of such Publicly Traded Company and beneficiaries of such Qualified Plan.
19. **Senior Foreign Political Figure**: A senior official in the executive, legislative, administrative, military or judicial branches of a non-U.S. government (whether elected or not), a senior official of a major non-U.S. political party, or a senior executive of a non-U.S. government owned corporation. In addition, a Senior Foreign Political Figure includes any corporation, business or other entity that has been formed by, or for the benefit of, a Senior Foreign Political Figure.
20. **Designated Body**: A fully regulated financial intermediary based in an FATF country.
21. **USA PATRIOT Act**: The Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT Act) Act of 2001 (Pub. L. No. 107-56).